



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,554	09/28/2001	Bruce J. Holub	6580-262

CONFIRMATION NO. 2581

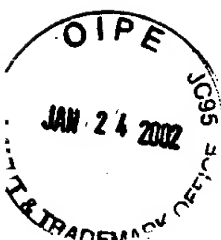
FORMALITIES LETTER



OC000000006968629

Bereskin & Parr
Box 401
40 King Street West
Toronto, ON M5H 3Y2
CANADA

Date Mailed: 10/25/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 105.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/29/2002 MAHME1 00000012 09964554

01 FC:105
02 FC:102

130.00 OP
84.00 OP

Bereskin & Parr

DAC \$
S #6

Barristers and Solicitors/Patent and Trade Mark Agents
Practice Restricted to Intellectual Property Law

Anita Nador B.A. (Molec. Biophys./Biochem), LL.B.
416 957 1684 anador@bereskinparr.com

Your Reference: 09/964,554
Our Reference: 6580-262



January 23, 2002

The Commissioner of Patents
& Trademarks
Washington, D.C. 20231
U.S.A.

RECEIVED
JAN 28 2002
OFFICE OF PETITIONS

Dear Sir:

Re: Submission under 37 § CFR 1.28(c)
United States Patent Application No. 09/964,554
Entitled: Triterpene Saponins from Soybeans for Treatment Kidney Disease
Inventors: Bruce J. Holub, F. William Collins, Dominique P. Bureau and Diana J. Philbrick
Filing Date: September 28, 2001
Examiner: Unknown
Art Unit: 1614

In accordance with the provisions of 37 C.F.R. § 1.28(c), Applicants hereby submit a request to correct the entity status of the above-identified application along with the corresponding deficiency in fees.

The Applicants had established small entity status in good faith and small entity fees have been paid in good faith. It has been discovered that small entity status was established in error and the Applicant wishes to change the status from small entity to large entity.

In accordance with 37 C.F.R. § 1.28(c)(2), the deficiency fees are itemized below.

Fees

The government fee of \$385.00 is included in our cheque No. 4125, in respect of:

(A) Particular fee involved: Basic filing fee of \$740.00.

(B) Small entity fee amount actually paid and when: \$355.00 on September 28, 2001.

01/26/2002 CNGUYEN 00000259 09964554

01 FC:101

740.00 DP

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416 364 7311 fax: 416 361 1398 www.bereskinparr.com

adjustment date: 01/26/2002 CNGUYEN
SLO/01/2001 SS111111 00000070 09964554
-355.00 DP
01 FC:201

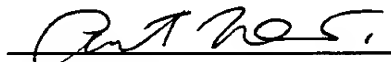
(C) Actual deficiency owed for fee paid in error: \$385.00.

(D) Total deficiency owed: \$385.00

If any additional fee is due, including a further fee for an extension of time, such an extension is hereby requested and the Commissioner is authorized to charge any such fee to Deposit Account No. 02-2095.

Respectfully submitted,

**Bruce J. Holub, F. William Collins
Dominique P. Bureau, Diana J. Philbrick**


Anita Nador
Registration No. 47,366

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1/

ISW sector

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Your Reference: 09/964,554
Our Reference: 6580-262

January 23, 2002



The Commissioner of Patents
& Trademarks
Washington, D.C. 20231
U.S.A.

Attention: Box Missing Parts

Dear Sir:

**Re: RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION
United States Patent Application No. 09/964,554
Entitled: Triterpene Saponins from Soybeans for Treatment Kidney
Disease
Inventors: Bruce J. Holub, F. William Collins, Dominique P. Bureau
and Diana J. Philbrick
Filing Date: September 28, 2001
Examiner: Unknown
Art Unit: 1614**

This is in response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted mailed October 25, 2001, a copy of which we attach. Applicants are simultaneously filing a Petition for a one-month extension of time under 37 CFR 1.136(a) to respond to the Notice to File Missing Parts. Applicants are also simultaneously filing a submission under 37 CFR § 1.28(c) in order change the entity status from small to large entity and to correct any deficiencies in the filing fees.

REMARKS

Declaration

In accordance with the provisions of 37 C.F.R. §1.63, applicant hereby submits an executed Declaration for Patent Application of inventors **Bruce J. Holub, F. William Collins, Dominique P. Bureau and Diana J. Philbrick.**



Fees

The government fee of \$214.00 is included in our cheque No. **4124**, in respect of (1) additional claim fees of \$84.00 (large entity); and (2) the government surcharge of \$130.00 (large entity).

If any additional fee is due, including a further fee for an extension of time, such an extension is hereby requested and the Commissioner is authorized to charge any such fee to Deposit Account No. 02-2095.

Respectfully submitted,

Bruce J. Holub, F. William Collins
Dominique P. Bureau, Diana J. Philbrick

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